

Effective Date: 11/1/2016

Security Assessment and Authorization Standard

Purpose

The Security Assessment and Authorization standard provides the minimum requirements for conducting security assessments and documentation of authorization(s) for security measures on Division of Enterprise Technology (DET)/State Information Technology (IT) systems and system environments.

Standard

Security assessments must be conducted on an annual basis to determine the extent to which security controls are designed correctly, operating as intended, and producing the desired outcome with respect to meeting established regulatory requirements. Results of the security assessment must be documented and reported to the DET Chief Information Security Officer (CISO)/or designee (CA-2).

Assessment results will be reviewed by the DET CISO/or designee. Deficiencies in security controls may be identified by the DET CISO/or designee as authorized for immediate remediation and/or additional security assessment activities (CA-6).

Definitions

- Agency - State of Wisconsin legislatively defined Departments and all customers of DET services, equipment, and/or technologies.
- DET/State information - Any information that is created, accessed, used, stored, or transmitted by an Agency and/or DET.
- DET/State information systems and system environments - All equipment or services used to input, store, process, transmit, and output information, including, but not limited to; network devices, servers, databases, printers, Internet, email, physical, virtual, cloud, and applications accessible to and/or managed by DET.

Compliance References

IRS Pub 1075
CIJIS Security Policy
CMS MARS-E
HIPAA Security Rule
NIST SP 800-53

Exception Process

Exceptions to this and all DET Security policies or procedures must follow the DET Exception Procedure.



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Document History

This standard was developed as required by the Department of Administration, DET Information Technology Security Policy Handbook, under the authority of Wisconsin State Statute 16.971.

This standard is effective upon approval and publication until retired. Revisions and updates continue the effective date by documenting required changes over time.

Ownership for this standard is assigned to the DET Bureau of Security. As such, the DET Bureau of Security is responsible for the maintenance, update(s), and review of this document annually before the anniversary of the effective date.

Version #	Approval, Revision, or Review Date	Description	Approver/Author, Title
.1	6/9/16	Original Draft	Tanya Choice Cybersecurity Compliance Consultant
.2	7/12/16	Revisions and formatting	Tanya Choice Cybersecurity Compliance Consultant
1.0	10/26/16	Revisions and approval	Bill Nash Chief Information Security Officer

Authorized and Approved by:

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10/26/16

Print/Type

Signature

Date

Division of Enterprise Technology-Bureau of Security

Chief Information Security Officer